



ACUPUNCTURE

REQUIRED FORMS

1. Clinical Treatment Form
2. Informed Consent and Disclosure
3. Initial Health Status
4. Member Billing Acknowledgment
5. Member Grievance Form
6. Patient Progress
7. Provider Status Change Request
8. Reconsideration/Modification

February 2006
California
Version 6.0

FOR ASH PLANS USE ONLY	ASH PLANS TREATMENT FORM #	RECEIVED DATE	ASH PLANS CLINICAL SERVICES MANAGER
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Patient Name _____ Sex M / F Birthdate _____ Patient ID # _____
Last _____ First _____ Initial _____ (mm/dd/yyyy) _____ Work Related?

Subscriber Name _____ Subscriber ID # _____ Is this? Auto Related?

Health Plan _____ Primary Secondary Employer _____ Group # _____

PCP Referral required? Yes No If yes, referred by (Name of Doctor): _____ Phone # _____

Clinic Name _____	PATIENT MAILING ADDRESS AND PHONE NUMBER		
Treating Provider _____	Address _____		
Address _____	City/State/Zip _____		
City/State/Zip _____	Phone (_____) _____		
Phone (_____) _____	Fax (_____) _____		

DATES OF SERVICES RENDERED UNDER THE TREATMENT FORM WAIVER: No services rendered

(Only Required for initial clinical treatment form submission)

Exam/1st OV date (mm/dd/yyyy) current benefit year: _____

Response to care: _____

of Additional Office Visits: _____

EASTERN DIAGNOSIS

1. _____ 2. _____
3. _____ 4. _____

New Condition Acute Condition Chronic Condition

Eight Principles: Yin Yang Interior Exterior
 Cold Heat Deficiency Excess
 Zang-Fu dysfunction:
 Qi dysfunction: Def. Sinking Stag Rebellious
 Blood dysfunction: Def. Stag Heat Cold
 Five Elements:
 Other: _____

TREATMENT/SERVICES SUBMITTED:

Date: From _____ Through: _____

Acupuncture Home Care Advice Diet

Total # Office Visits/Acupuncture: _____

Electrostimulation GuaSha Herbs

Established Patient Exam: _____

Moxibustion Acupressure/Tui-Na Cupping

Other Services: _____

Cold/Heat Pad Infrared/Heat Lamp Qigong

Estimated Date of Release: _____

Nutritional Supplements Rehab Exercise Other: _____

PATIENT'S CURRENT MAIN COMPLAINT AND MECHANISM OF INJURY/ONSET: _____

HEALTH HISTORY: _____

OUTCOME OF PREVIOUS TREATMENT/SERVICES: _____

ONGOING CARE (e.g., MEDICATIONS, THERAPY): _____

SUMMARY OF OBJECTIVE FINDINGS (OR PAGE 2 ATTACHED) _____

VITAL SIGNS: _____

CURRENT TREATMENT GOALS/OBJECTIVES: _____

► Please attach an updated "Initial Health Status" form or "Patient Progress" form for treatment/services approval.

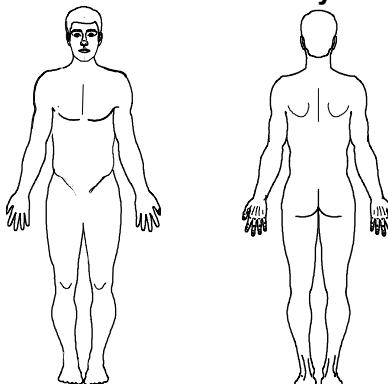
Signature of provider of acupuncture services: _____ Date: _____

Patient Name _____ Occupation _____ Exam date: _____

Vital Signs: _____
Height _____ Weight _____ Blood Pressure _____ Pulse Rate _____ Respiration _____ Temperature _____

Pain Syndrome: Please indicate the pain locations on model's body and complete each of the findings:

1. Pain Location: _____
Pain Intensity: _____
Pain Frequency: _____
Range of Motion: _____



3. Pain Location: _____
Pain Intensity: _____
Pain Frequency: _____
Range of Motion: _____

2. Pain Location: _____
Pain Intensity: _____
Pain Frequency: _____
Range of Motion: _____

4. Pain Location: _____
Pain Intensity: _____
Pain Frequency: _____
Range of Motion: _____

*Please check the boxes where patient has abnormal findings (☒) or leave box blank if the finding is normal. Fill in blank lines or use "N/A" if not applicable.

General review and physical examination:

Facial Inspection

- Blue/cyanotic
- Red
- Yellow
- White/pale
- Dark/pigmented
- Eyes/Ears/Nose/Throat**
- Dry eyes
- Excessive tearing
- Yellow sclera Red sclera
- White discharge/sputum
- Yellow discharge/sputum
- Bloody discharge/sputum
- Enlarged tonsils
- Fever and Chills**
- Simultaneous fever and chills
- Alternating fever and chills
- High fever
- Low fever
- Intermittent fever
- Fever in the evening
- Aversion to cold heat
- Aversion to wind
- Perspiration**
- No sweating
- Profuse sweating
- Spontaneous sweating

Chest/Breast

- Cough Wheezing
- Dyspnea
- Palpitation
- Abnormal breast secretions

Abdomen

- Pregnancy
- Fleshy
- Belching Bloating
- Nausea/vomiting
- Watery regurgitation
- Acid regurgitation
- Pain with hunger
- Pain after eating
- Soft on palpation
- Rigid on palpation
- Rebound pain

Sleeping

- Poor sleep
- Excessive dreaming
- Excessive sleep
- Diet and Thirst**
- Excessive appetite
- Poor appetite
- Hunger without desire to eat

Inability to taste

- Palm sweating
- Sweet/sour/bitter taste
- Dire thirst and polydipsia
- Thirst without desire to drink
- Preference for hot water
- Preference for cold water

Bowel Movements and Urination

- Urination**
- Constipation
- Diarrhea
- Burning anus
- Watery stool
- Loose stool
- Foul yellow stool
- Mucoid stool
- Bloody stool/tarry stool
- Frequent urination
- Scanty urine
- Dark yellow urine
- Cloudy urine
- Bloody urine
- Micturition pain
- Dribbling
- Burning urination
- Urinary incontinence

Delayed

- Irregular
- Amenorrhea
- Dysmenorrhea
- PMS
- Hypomenorrhea
- (Metrorrhagia) Beng-Lou
- Dark red
- Brown red
- Excessive clots

Miscellaneous

- Low energy
- Low libido
- Infertility
- Impotence

Tongue Signs (Required)

Body _____
Coating _____

Pulse Signs (Required)

Right _____
Left _____

Laboratory Exam Findings: _____ Date: _____ (please attach copy of report)

Radiographic Exam Findings: _____ Date: _____ (please attach copy of report)

ADDITIONAL CLINICAL FINDINGS: (Orthopedic tests, range of motion, palpatory findings, neurological tests, systems review and observation, additional patient health history, patient progress, etc.): _____

OUTCOME ASSESSMENTS: N/A Date score obtained: _____ Neck Disability score _____ Roland-Morris score _____
 Oswestry Low Back score _____ Perceived Improvement _____ % Other (name) score _____

COMMENTS: _____

Signature of provider of acupuncture services: _____ Date: _____

INFORMED CONSENT:

Acupuncture Provider

I hereby request and consent to acupuncture treatment and/or herbal supplement recommendations for me (or my legal charge) provided by the ASH Plans Contracted Provider of Acupuncture Services named above and/or other ASH Plans Contracted Provider of Acupuncture Services who may treat me. I understand that the ASH Plans Contracted Provider of Acupuncture Services will explain all known risks and complications, and I wish to rely on the ASH Plans Contracted Provider of Acupuncture Services to exercise judgment during the course of the procedure, which the ASH Plans Contracted Provider of Acupuncture Services determines is in my best interests. I may request another person of my choice to be present in the treatment room during treatment.

The ASH Plans Contracted Provider of Acupuncture Services has discussed with me the procedures listed below that may be used in my treatment. I have read the information below and understand the possible risk involved. I agree to the ASH Plans Acupuncture Provider's use of this treatment (if indicated).

- **Acupuncture** is a safe and effective method of treatment. However, it can occasionally cause slight bleeding that usually resolves with pressing dry cotton on the spot where the skin is bleeding. It is also normal for the patient to have a temporary warm, tight, sore or tingling sensation at the acupuncture site.
- **Acupressure/TuiNa** involves rubbing, kneading, pressing, and stroking, etc., which may result in muscle soreness at the massage site that can last several days. This technique may require disrobing. I understand all attempts will be made to assure my privacy
- **Indirect Moxibustion** requires burning an herbal material near the skin or on an acupuncture needle. Every precaution is taken to prevent skin contact, but the possibility of skin contact and mild burns exists. ASH Plans does not allow *direct* moxibustion where burning material contacts the skin.
- **Cupping** involves a localized suction produced by heating a small glass cup. There is a possibility of local bruising from the suction and slight burning or blistering due to the heat involved in the technique.
- **Gua Sha** involves scraping over a small area by using a smooth-edged instrument. There is a possibility that local bruising is likely to occur at the site where Gua Sha is performed.
- **Tapping, Plum Blossom, Bleeding, Pricking** all involve multiple needle pricks at a localized site. Slight bleeding and/or bruising at the treatment site is a likely occurrence. Only single-use needles are used in these procedures.
- **Electrical Stimulation/TENS** uses microcurrent electricity to stimulate acupuncture points. A mild tingling sensation of electricity will be felt.
- **Treatment Using Control Points Ren 1/Du 1.** In very rare cases, the Acupuncture Provider may recommend treatment using acupuncture points near the genital organs. If this is necessary, the Acupuncture Provider will notify me and will provide alternative treatments if I am uncomfortable with treatment using these points. I understand all attempts will be made to assure my privacy.

I have read, or have had read to me, the above consent, and have had the opportunity to ask questions and discuss this with my ASH Plans Contracted Provider of Acupuncture Services. I consent to the treatment that involves the above procedures for my present condition(s) and any future conditions. I have the right to refuse or discontinue any treatment at any time and understand that this refusal may affect the expected results.

Authorization for Release of Medical Information: I further understand that my ASH Plans Contracted Provider of Acupuncture Services or an ASH Plans Acupuncture Clinical Services Manager may need to contact my medical physician when the ASH Plans Contracted Provider of Acupuncture Services or an ASH Plans Acupuncture Clinical Services Manager have identified that my condition needs to be co-managed with my medical doctors. The conditions that may require co-management include but are not limited to; pregnancy related nausea, pain associated with Multiple Sclerosis, neuromusculoskeletal effects of stroke, pain/nausea related to cancer/tumor, chemotherapy related nausea, pain/nausea related to AIDS/ARC, pain or nausea related to surgery. This coordination of care intends to manage my health condition in my best interest and assure the optimal outcome of my acupuncture treatments. Therefore, I give my authorization to ASH Plans to contact my medical physician if/when necessary.

Treatment of Pediatric Patients <3 Years. I understand that treatment of young children has some risk and should be coordinated with the child's physician. If I am signing for my child under the age of eighteen (18), I give my authorization to ASH Plans to contact my child's medical doctor if/when necessary.

Patient Name (please print)

Patient ID Number

Primary Care Physician (or specialist) Name

Patient Signature

Primary Care Physician (or specialist) Telephone

Date

Patient Name _____ Birthdate _____ Sex M / F
Last _____ First _____
Address _____ City _____ State _____ Zip _____
Subscriber Name: _____ Subscriber ID #: _____ Group #: _____
Phone # (Home): _____ Work #: _____ Employer _____ Occupation _____
Primary Health Plan: _____ Patient/Member ID #: _____
2nd Health Plan: _____ Primary Care Physician: _____ PCP phone #: _____
(Required) (Required)

Please describe your current health problem(s): _____

How and When it began: _____

If you are undergoing acupuncture treatments, describe your progress: _____

Worsened No change 25% improved 50% improved 75% improved

Circle your current pain areas: Head, Neck, Jaw, Shoulder, Arm, Elbow, Hand, Wrist, Upper Back, Low Back, Tailbone, Hip, Thigh, Knee, Ankle, Foot, Chest, Abdomen, Other: _____

No Pain 0 1 2 3 4 5 6 7 8 9 10 Unbearable Pain

How often are your symptoms present? Constantly Frequently Intermittently Occasionally

Describe your current health condition: Good Fair Poor Chronically ill

Can you perform your daily activities? Yes, all activities Some activities Not at all

Are you currently under the care of a physician? No Yes, please explain _____

What treatment have you been taking for the above condition(s)? (Surgery, medications, injections, therapy, chiropractic, etc.) _____

Please check all of the following that apply to you:

<input type="checkbox"/> Alcohol/tobacco/drug dependence	<input type="checkbox"/> Frequent urination	<input type="checkbox"/> Sinusitis
<input type="checkbox"/> Abnormal menstruation	<input type="checkbox"/> Headache	<input type="checkbox"/> Stroke
<input type="checkbox"/> Allergies	<input type="checkbox"/> Heart attack	<input type="checkbox"/> Thyroid Disease
<input type="checkbox"/> Angina	<input type="checkbox"/> Heartburn or indigestion	<input type="checkbox"/> Medications _____
<input type="checkbox"/> Arthritis/rheumatoid arthritis	<input type="checkbox"/> Hypertension	<hr/>
<input type="checkbox"/> Artificial joints	<input type="checkbox"/> Hospitalizations/surgical procedures _____	<hr/>
<input type="checkbox"/> Asthma	<hr/>	<input type="checkbox"/> Other: _____
<input type="checkbox"/> Blood disorder	<input type="checkbox"/> Kidney disease	<hr/>
<input type="checkbox"/> Breast lumps	<input type="checkbox"/> Liver problems	If a family member has had any of
<input type="checkbox"/> Cancer/tumor	<input type="checkbox"/> Pacemaker	the following, please mark the
<input type="checkbox"/> Convulsions/seizures	<input type="checkbox"/> Painful menstruation	appropriate box and explain:
<input type="checkbox"/> Diabetes	<input type="checkbox"/> Palpitation/arrhythmia	<input type="checkbox"/> Lupus
<input type="checkbox"/> Diarrhea/constipation	<input type="checkbox"/> Peptic ulcer	<input type="checkbox"/> Cancer
<input type="checkbox"/> Excessive thirst	<input type="checkbox"/> PMS	<input type="checkbox"/> Heart disease
<input type="checkbox"/> Fainting or dizziness	<input type="checkbox"/> Pregnancy, months _____	<input type="checkbox"/> Hypertension
<input type="checkbox"/> Fatigue	<input type="checkbox"/> Prostate problems	<input type="checkbox"/> Other: _____
	<input type="checkbox"/> Rapid weight gain/loss	<hr/>

Comments: _____

I certify the above information is complete and accurate to the best of my knowledge. If the health plan information is not accurate, or if I am not eligible to receive a health care benefit through this provider, I understand that I am liable for all charges for services. I agree to notify this provider immediately whenever I have changes in my health condition or health plan coverage. I understand that my ASH Plans Acupuncture Provider or an ASH Plans Clinical Services Manager may need to contact my PCP or treating physician if my condition needs to be co-managed. Therefore, I give my authorization to ASH Plans to contact my medical doctor if necessary.

Patient signature: _____ Date: _____

I, _____, a member being treated by _____,
(Name of Patient/Member/Subscriber) (Acupuncture Provider Name)

do hereby acknowledge that a certain portion of my care will not be covered by my HMO, insurance company, or health plan under the terms of my Benefit Plan with _____. I understand and agree to be responsible to self-pay for the following services: _____ (Name of Health Plan)

LIST OF SERVICES TO BE PAID FOR BY MEMBER:

Date:	Procedure:	Charge:
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____

Separately list each date of service on which non-covered services will be rendered and have the Member initial the charge. Please attach additional Member Billing Acknowledgment form(s) for additional services.

This form is only to be used if an ASH Plans Member desires to self-pay for non-covered services. Non-covered services include services such as supplements that are not covered by the Member's payor. Non-covered services may also include services determined by ASH Plans to be maintenance-type services.

The ASH Plans Contracted Provider of Acupuncture Services may not bill the Member during the course of an ASH Plans approved treatment program unless there is a copayment, deductible, coinsurance, or the Member is receiving non-covered services.

The ASH Plans Contracted Provider of Acupuncture Services may not bill the Member for the difference between what the ASH Plans Contracted Provider of Acupuncture Services bills and what the ASH Plans Contracted Provider of Acupuncture Services agreed contractually to accept as payment for services. This difference represents an amount the ASH Plans Contracted Provider of Acupuncture Services agreed contractually to waive.

This agreement may not be used as a "blanket" or "retroactive" agreement to bill Members for any services not reimbursed by ASH Plans. Such use will render this agreement "void" and non-binding on the Member. This agreement may only be used to allow the Member to agree to "self pay" for specific services in advance.

I acknowledge that I have been told in advance of treatment what portion of my care I will have to pay for, and agree to make financial arrangements with my acupuncture provider, _____, (Acupuncture Provider Name), to pay for these services myself.

Dated at _____, California this _____ day of _____, 20 _____.
(city) (date) (month) (year)

Member Signature:
(Guardian must sign for all members 17 years or younger)

Member Health Plan ID#

Provider Signature

Date

MEMBER GRIEVANCE FORM

Please return this completed form to initiate research into your grievance. You may return this form to the above address or FAX to 619-209-6237. Should you require assistance with completing this form or wish to file a grievance via telephone please contact American Specialty Health Plans of California, Inc. Member Services Department at **1-800-678-9133**. If you think that waiting for an answer from your health plan will hurt your health, call and ask for an "Expedited Review."

Date: _____

Your Name: _____ DOB: _____

Address: _____

City: _____ State: _____ Zip: _____

Member Home Phone: (_____) _____ Member Work Phone: (_____) _____

Your Health Plan Name: _____ Your Health Plan ID#: _____

If Someone Other Than the Member Is Filing This Grievance, Please Provide the Following Information:

Name: _____ Daytime Telephone #: (_____)
First and Last

Relationship to Member: _____

Address: _____

City: _____ State: _____ Zip: _____

If You Are Filing A Grievance Against A Provider, List The Provider's Name Here: _____

DESCRIPTION OF Grievance: (Give dates, times, people's name, places, etc. Use additional sheets if necessary) _____

I hereby attest that the above information is true:

Signed _____ Date _____

"The California Department of Managed Health Care is responsible for regulating health care service plans. If you have a grievance against your health plan, you should first telephone your health plan at **1-800-678-9133** and use your health plan's grievance process before contacting the department. Utilizing this grievance procedure does not prohibit any potential legal rights or remedies that may be available to you. If you need help with a grievance involving an emergency, a grievance that has not been satisfactorily resolved by your health plan, or a grievance that has remained unresolved for more than 30 days, you may call the department for assistance. You may also be eligible for an Independent Medical Review (IMR). If you are eligible for IMR, the IMR process will provide an impartial review of medical decisions made by a health plan related to the medical necessity of a proposed service or treatment, coverage decisions for treatments that are experimental or investigation in nature and payment disputes for emergency or urgent medical services. The department also has a toll-free telephone number **1-888-HMO-2219** and a TDD line **1-877-688-9891** for the hearing and speech impaired. The department's Internet Web site <http://www.hmohelp.ca.gov> has complaint forms, IMR application forms and instructions online."

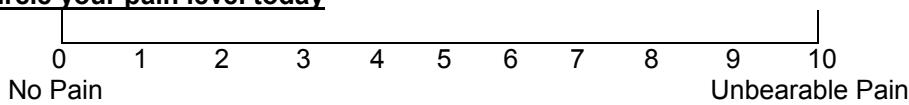
Federal Employees: If you are a Federal Employee, you have additional rights through the Office of Personnel Management (OPM) instead of the DMHC. Please reference your Federal Employees Health Benefits (FEHB) Program Brochure, which states that you may ask OPM to review the denial after you ask your health plan to reconsider the initial denial or refusal. OPM will determine if your health plan correctly applied the terms of its contract when it denied your claim or request for service. Send your request for review to: Office of Personnel Management, Office of Insurance Programs Contracts Division IV, P.O. Box 436, Washington, D.C. 20044.

Employees of Self-Insured Companies: You may have the right to bring a civil action under Section 502(a) of the Employee Retirement Income Security Act (ERISA) if you are enrolled with your health plan through an employer who is subject to ERISA. First, be sure that all required reviews of your claim appeal have been completed and your claim has not been approved. Then consult with your employer's benefit plan administrator to determine if your employer's benefit plan is governed by ERISA. Additionally, you and your health plan may have other voluntary alternative dispute resolution options, such as mediation.

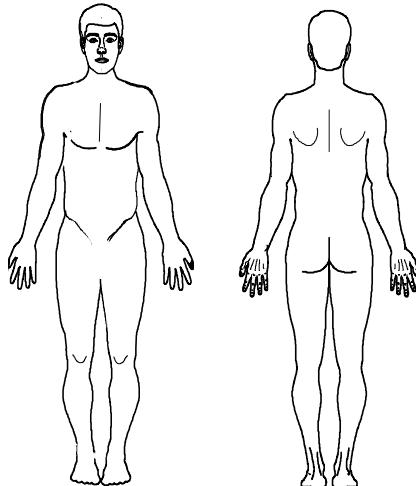
(PLEASE PRINT LEGIBLY)

Patient Name _____

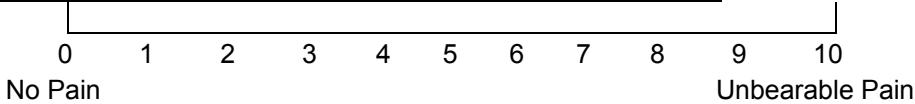
1 How do you feel today?



MARK AN X ON THE PICTURE WHERE YOU HAVE PAIN OR OTHER SYMPTOMS.



Circle average and the worst pain level over the past week



2. Are you getting better?

Current Condition(s)/Complaint(s)

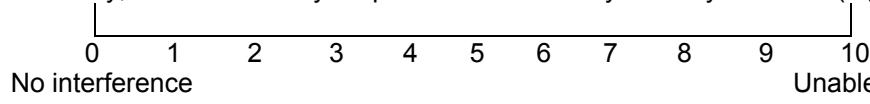
Rate your overall progress since starting care

1. _____ % (0% = No improvement and 100% = Fully recovered)
2. _____ % (0% = No improvement and 100% = Fully recovered)
3. _____ % (0% = No improvement and 100% = Fully recovered)

In the past week, on average how often have your symptoms been present?

(Intermittent) 0 – 25% 26 – 50% 51 – 75% 76 – 100% (Constant)

Currently, how much has your pain interfered with your daily activities (e.g., work, social activities, or household chores?)



Which type(s) of treatment appear to be most helpful to your condition(s)?

<input type="checkbox"/> Acupuncture treatment	<input type="checkbox"/> Nutritional supplements	<input type="checkbox"/> Rehab Exercise/Home Care
<input type="checkbox"/> Chinese herbs	<input type="checkbox"/> Prescription Medication(s)	<input type="checkbox"/> Spinal Adjustment/Manipulation
<input type="checkbox"/> Massage therapy	<input type="checkbox"/> Physical therapy	<input type="checkbox"/> Other: _____

3. Is there anything new?

Have you had any new complaints/conditions? No Yes

Have you had any re-injuries or events that have prolonged your recovery? No Yes

Explain: _____

I certify the above information is complete and accurate to the best of my knowledge. I agree to notify this provider immediately whenever I have changes in my health condition or health plan coverage in the future.

Patient Signature: _____ **Date:** _____

FOR ASH PLANS USE ONLY	ASH PLANS TREATMENT FORM #	RECEIVED DATE	ASH PLANS CLINICAL SERVICES MANAGER
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Patient Name _____
Last _____ First _____ Initial _____
Patient ID# _____

Patient Health Plan: _____

Acupuncture Provider: _____
Address: _____
City/State/Zip: _____
Phone: (_____) _____
Fax: (_____) _____

List the appropriate Treatment Form Number for this reconsideration/modification.

ASH PLANS TREATMENT FORM #

RECONSIDERATION (This option should only be chosen when submitting additional information to support treatment/services not approved in the original submission.)

Submitting Additional/Revised Information:

Please clarify which treatment/services you are submitting for reconsideration and provide rationale. You may attach the current Clinical Treatment Form. Additional information may also be attached or included below.

Date: From _____ Through _____
 Total # of Office Visits/Acupuncture: _____
 Established Exam: _____
 Other: _____

MODIFICATION (This option should only be chosen if you need to modify the treatment/services already approved or agreed upon in the original submission)

Dates of Service – Changes, Extensions (up to 30 days), **Reductions:**

The treatment period/dates should be: Start (mm/dd/yyyy): _____ End (mm/dd/yyyy): _____

Rationale: _____

Additional Office Visits (up to 3 visits)

Additional number of visits: # _____ Please provide current subjective and objective findings and rationale. Please note that modification for additional office visits may not be submitted with a date extension:

Additional Examinations

Date of Examination: _____

Clinical Rationale: _____

Other _____

Services/Clinical Rationale: _____

Signature of treating acupuncture provider: _____ Date: _____